

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

SP01-209

09/916,536

APPLICANT Chou et al.

FILING DATE July 27, 2001

GROUP: 1711

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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FEB 07 2002  
TC 1700

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	AR	Barton, Thomas J., et al.; <u>Organosilicon Chemistry</u> ; American Chemical Society, 1990; Pp. 4-46.
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER:

*Rubm Bryant*

DATE CONSIDERED:

12/30/02

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LIST OF PATENTS AND  
PUBLICATIONS

SP01-209

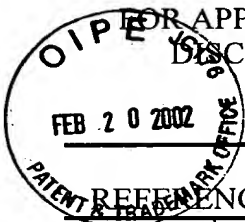
09/916,536

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FILING DATE: July 27, 2001

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date If Approp.
<i>RJ</i>	AA	6,287,745 B1	9/11/01	Yamamura et al	430	269	
	AB						
	AC						
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	AE						
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## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Translation Yes No
	AL						
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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07/29/2001 6,536

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APPLICANT Chou et al.

FILING DATE July 27, 2001

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
RS	AA	5,907,023	05/25/99	Chawla	528	49	
RS	AB	5,712,035	01/27/98	Ohtaka et al	428	378	
RS	AC	5,527,835	06/18/96	Shustack	522	42	
RS	AD	5,496,870	03/05/96	Chawla et al	522	90	
RS	AE	4,992,524	02/12/91	Coady et al	528	49	
RS	AF	4,979,798	12/25/90	Lagakos et al	350	96.33	
RS	AG	4,973,611	11/27/90	Puder	522	42	
RS	AH	4,962,992	10/16/90	Chapin et al	350	96.23	
RS	AI	4,902,440	02/20/90	Takeyama et al	252	182.18	
RS	AJ	4,854,666	08/08/89	Kohara et al	350	96.34	
RS	AK	4,794,133	12/27/88	Moschovis et al	524	99	

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Translation Yes No
RS	AL	JP5271618	10/19/93	Japan	<del>C09D</del>	<del>175/16</del>	X
RS	AM	JP6198716	05/17/86	Japan	<del>C08F</del>	<del>299/02</del>	X
RS	AN	EP0566801	12/30/98	Europe	<del>C03C</del>	<del>25/02</del>	
RS	AO	EP0336653	10/11/89	Europe	<del>C08F</del>	<del>299/06</del>	
RS	AP	EP0155051	03/04/85	Europe	<del>C03C</del>	<del>25/02</del>	X
RS	AQ	DE3710207	03/27/87	Germany	<del>C03C</del>	<del>25/02</del>	X

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	AR	English Translation of Abstract of App. No. JP63134934; Published 12/08/1989; Masato; from MicroPatent
RS	AS	English Translation of Abstract of App. No. JP61258644; Published 5/19/1988; Naomasa et al; from MicroPatent
RS	AT	English Translation of Abstract of App. No. JP63313697; Published 06/20/1990; Masahiro et al; from MicroPatent
RS	AU	English Translation of Abstract of App. No. JP63311646; Published 06/19/1990; Makoto; from MicroPatent

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6/4/03

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FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	SP01-209	09/916,536
	RECEIVED DEC 11 2001 GROUP 1	
	APPLICANT Chou et al.	
	FILING DATE July 27, 2001	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
RS	AT	4,702,554	10/27/87	Takahashi et al	350	96.30	
RS	AU	4,682,851	07/28/87	Ansel	350	96.34	
RS	AV	4,624,994	11/25/86	Ansel	525	440	
RS	AW	4,609,718	09/02/86	Bishop et al	528	49	
RS	AX	4,608,409	08/26/86	Coady et al	524	199	
RS	AY	4,585,534	04/29/86	Pasternack et al	522	31	
RS	AZ	4,578,284	03/25/86	Gruber	427	163	
RS	BA	4,572,610	02/25/86	Krajewski	350	96.34	
RS	BB	4,474,830	10/02/84	Taylor	427	54.1	
RS	BC	4,324,575	04/13/82	Levy	65	3.11	
RS	BD	4,311,726	01/19/82	Hacker et al	427	54.1	

FOREIGN PATENT DOCUMENTS							
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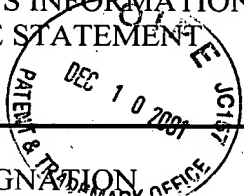
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
RS	BF	English Translation of Abstract of No. JP62148513; Published 12/20/1988; Kenji et al; from MicroPatent
RS	BG	English Translation of Abstract of No. JP62148514; Published 12/21/1988; Keisuke et al; from MicroPatent
RS	BH	English Translation of Abstract of No. JP62292821; Published 05/26/1989; Akiyo; from MicroPatent
RS	BI	English Translation of Abstract of App. No. JP2000319384; Published 11/21/2000; SciFinder; December 2000 Edition, page 2
RS	BJ	English Translation of Abstract of App. No. JP2000309615; Published 11/07/2000; SciFinder; December 2000 Edition, page 2
RS	BK	English Translation of Abstract of App. No. JP11133277; Published 05/21/1999; SciFinder; December 2000 Edition, page 3

EXAMINER: Robin Bryant DATE CONSIDERED: 6/4/03

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	SP01-209	09/016,536
	APPLICANT Chou et al.	
	FILING DATE July 27, 2001	GROUP 1700

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REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
RS	BL	4,302,233	11/24/81	Ahne et al	501	3.43	
RS	BM	3,687,514	08/29/72	Miller et al	350	96 WG	
RS	BN	423,176	03/11/1890	Ney et al	16	92	
RS	BO	256,289	04/11/1882	Clark	5	300	

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
RS	BQ	English Translation of Abstract of App. No. JP11060658; Published 03/02/1999; SciFinder; December 2000 Edition; page 4.
RS	BR	English Translation of Abstract of App. No. JP11060657; Published 03/02/1999; SciFinder; December 2000 Edition; page 5.
RS	BS	English Translation of Abstract of App. No. JP11060286; Published 03/02/1999; SciFinder; December 2000 Edition; page 5.
RS	BT	English Translation of Abstract of App. No. JP10300996; Published 11/13/1998; SciFinder; December 2000 Edition; page 6.
RS	BU	English Translation of Abstract of App. No. JP10095640; Published 04/14/1998; SciFinder; December 2000 Edition; page 6.
RS	BV	US Abstract of No. US 5684910; Published 11/04/1997; SciFinder; December 2000 Edition; page 7.
RS	BW	US Abstract of No. US 5647884; Published 07/15/1997; SciFinder; December 2000 Edition; page 7.
RS	BX	Shiue et al; "The Spring Constant in the Buckling of Tightly Jacketed Double-Coated Optical Fibers"; ©2000; SciFinder, December 2000 Edition; page 8; abstract only

EXAMINER: *Rabm Legent* DATE CONSIDERED: 6/4/03

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FORM PTO-1449 (MODIFIED)	ATTORNEY DOCKET NO. SP01-209	SERIAL NO. 08/916,536
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	APPLICANT Chou et al.	RECEIVED DEC 11 2001 TO 1700
	FILING DATE July 27, 2001	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.	
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FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Sub-Class	Translation Yes No	
BZ							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	CA	Kobayashi et al; "Coating Design of Thin-Coated Ribbons Using 250µm Coated Fibers"; ©2000; SciFinder, December 2000 Edition; page 8; abstract only
RS	CB	English Translation of Abstract of App. No. JP08134157; Published 05/28/1996; SciFinder; December 2000 Edition; page 9
RS	CC	English Translation of Abstract of App. No. JP08130320; Published 05/21/1996; SciFinder; December 2000 Edition; page 10
RS	CD	Barrow et al; "Fabrication of Thick, Sol Gel PZT Films: Applications to Macroscopic Piezoelectric Devices"; ©2000; SciFinder, December 2000 Edition; page 10; abstract only
RS	CE	Li et al; "Studies on Blends and Composites Containing Thermotropic Liquid-Crystalline Polyesters"; ©2000; SciFinder, December 2000 Edition; page 10; abstract only
RS	CF	English Translation of Abstract of App. No. JP06157667; Published 06/07/1994; SciFinder; December 2000 Edition; page 11.
RS	CG	Kang et al; "Preparation and Properties of UV-Curable Primary Coating Material for Optical Fiber"; ©2000; SciFinder, December 2000 Edition; page 12; abstract only
RS	CH	English Translation of Abstract of App. No. JP03041174; Published 02/21/1991; SciFinder; December 2000 Edition; page 12.
RS	CI	US Abstract of No. US423176; Published 06/15/1990; SciFinder; December 2000 Edition; page 13

EXAMINER: Rabon Legant DATE CONSIDERED: 6/4/03

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FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.  SP01-209	SERIAL NO. 69416536 <b>RECEIVED</b> DEC 11 2001 <b>TC 1700</b>
	APPLICANT Chou et al.	
	FILING DATE July 27, 2001	GROUP: 1700

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
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FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	CK						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

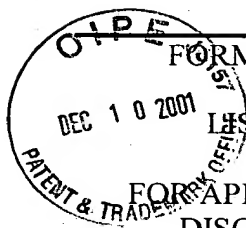
RA	CL	Oohashi et al; "Characteristics of Carbon-Coated Optical Fibers"; ©2000; SciFinder, December 2000 Edition; pages 13-14; abstract only
RA	CM	Kimura et al; "Low-Temperature Excess Optical Loss of UV-Curable Acrylate-Coated Optical Fibers"; ©2000; SciFinder, December 2000 Edition, page 14; abstract only
RA	CN	English Translation of Abstract of App. No. JP63275619; Published 11/14/1988; SciFinder; December 2000 Edition; page 15.
RA	CO	Ito et al; "Rheological Properties of UV-Curable Materials Applied to High-Speed Coating of Optical Fibers"; ©2000; SciFinder, December 2000 Edition, pages 15-16
RA	CP	US Abstract of No. US 4794133; Published 12/27/1988; SciFinder; December 2000 Edition; page 16.
RA	CQ	English Translation of Abstract of App. No. JP63312309; Published 12/20/1998; SciFinder; December 2000 Edition; page 16.
RA	CR	English Translation of Abstract of App. No. EP277813; Published 08/10/1988; SciFinder; December 2000 Edition; page 17.
RA	CS	English Translation of Abstract of App. No. JP63198008; Published 8-16-1988; SciFinder; December 2000 Edition; page 18.
RA	CT	English Translation of Abstract of App. No. JP63168609; Published 07/12/1988; SciFinder; December 2000 Edition; page 18.
RA	CU	English Translation of Abstract of App. No. JP63168610; Published 07/12/1988; SciFinder; December 2000 Edition; page 19.

EXAMINER: *Ruben Leyant*

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TC 1700

APPLICANT Chou et al.

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	CV					

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation Yes No
CW					

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	CX	English Translation of Abstract of App. No. JP63085030; Published 4/15/1988; SciFinder; December 2000 Edition; page 20
RS	CY	English Translation of Abstract of App. No. JP63027518; Published 02/05/1988; SciFinder; December 2000 Edition; page 20.
RS	CZ	English Translation of Abstract of App. No. JP62252411; Published 11/04/1987; SciFinder; December 2000 Edition; page 21.
RS	DA	English Translation of Abstract of App. No. JP62205304; Published 09/09/1987; SciFinder; December 2000 Edition; page 22.
RS	DB	English Translation of Abstract of App. No. JP62229211; Published 10/08/1987; SciFinder; December 2000 Edition; page 22.
RS	DC	English Translation of Abstract of App. No. JP62116672; Published 05/28/1987; SciFinder; December 2000 Edition; page 23.
RS	DD	English Translation of Abstract of App. No. JP62091520; Published 04/27/1987; SciFinder; December 2000 Edition; page 24.
RS	DE	English Translation of Abstract of App. No. JP62091519; Published 04/27/1987; SciFinder; December 2000 Edition; page 24.
RS	DF	English Translation of Abstract of App. No. JP 62115406; Published 05/27/1987; SciFinder; December 2000 Edition; page 25.
RS	DG	English Translation of Abstract of App. No. JP62100704; Published 05/11/1987; SciFinder; December 2000 Edition; page 25.
RS	DH	English Translation of Abstract of App. No. JP61222944; Published 10/03/1986; SciFinder; December 2000 Edition; page 26.
RS	DI	English Translation of Abstract of App. No. JP 61238821; Published 10/24/1986; SciFinder; December 2000 Edition; page 26.

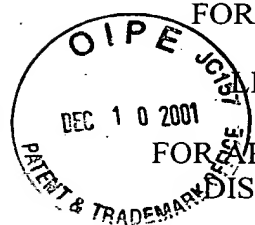
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## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation Yes No
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## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	DL	English Translation of Abstract of App. No. JP61198113; Published 09/02/1986; SciFinder; December 2000 Edition; page 27.
RS	DM	US Abstract of No. US4609718; Published 09/02/1986; SciFinder; December 2000 Edition; page 28.
RS	DN	US Abstract of No. US4608409; Published 08/26/1986; SciFinder; December 2000 Edition; page 29.
RS	DO	English Translation of Abstract of App. No. EP166926; Published 01/08/1986; SciFinder; December 2000 Edition; page 30.
RS	DP	English Translation of Abstract of App. No. EP171757; Published 02/19/1986; SciFinder; December 2000 Edition; page 30.
RS	DQ	English Translation of Abstract of App. No. EP155070; Published 09/18/1985; SciFinder; December 2000 Edition; page 31.
RS	DR	English Translation of Abstract of App. No. EP141330; Published 05/15/1985; SciFinder; December 2000 Edition; page 32.
RS	DS	Abramov et al; "Freeze-Resistant Fiber-Optic Modules"; ©2000; SciFinder, December 2000 Edition; page 33
RS	DT	English Translation of Abstract of App. No. JP60103051; Published 06/07/1985; SciFinder; December 2000 Edition; page 33.
RS	DU	English Translation of Abstract of App. No. JP60052801; Published 03/26/1985; SciFinder; December 2000 Edition; page 34.
RS	DV	English Translation of Abstract of App. No. JP60045210; Published 03/11/1985; SciFinder; December 2000 Edition; page 34.
RS	DW	US Abstract of No. US 635693; Published 01/18/1985; SciFinder; December 2000 Edition; page 35.

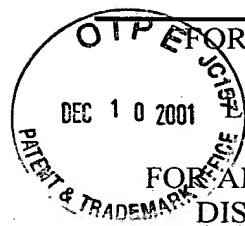
EXAMINER:

*Robert Leggett*

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RS	DZ	English Translation of Abstract of App. No. JP59227746; Published 12/21/1984; SciFinder; December 2000 Edition; page 36.
RS	EA	English Translation of Abstract of App. No. JP59217653; Published 12/07/1984; SciFinder; December 2000 Edition; page 36.
RS	EB	English Translation of Abstract of App. No. EP124057; Published 11/07/1984; SciFinder; December 2000 Edition; page 37.
RS	EC	English Translation of Abstract of App. No. EP114346; Published 08/01/1984; SciFinder; December 2000 Edition; page 38.
RS	ED	English Translation of Abstract of App. No. JP58142305; Published 08/24/1983; SciFinder; December 2000 Edition; page 38.
RS	EE	English Translation of Abstract of App. No. JP57013506; Published 03/17/1982; SciFinder; December 2000 Edition; page 38.
RS	EF	US Abstract of No. US 4324575; Published 04/13/1982; SciFinder; December 2000 Edition; page 39.
RS	EG	US Abstract of No. US 256289; Published 09/11/1981; SciFinder; December 2000 Edition; page 40.
RS	EH	English Translation of Abstract of App. No. DE 2933856; Published 03/12/1981; SciFinder; December 2000 Edition; page 40.
RS	EI	English Translation of Abstract of App. No. DE 2926415; Published 01/08/1981; SciFinder; December 2000 Edition; page 41.
RS	EJ	English Translation of Abstract of App. No. JP55025022; Published 02/22/1980; SciFinder; December 2000 Edition; page 42.
RS	EK	English Translation of Abstract of App. No. ES462214; Published 12/01/1978; SciFinder; December 2000 Edition; page 43.

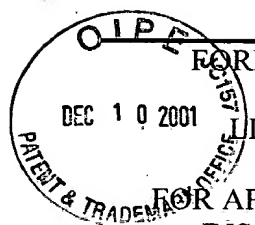
EXAMINER:

*Rabna Sugent*

DATE CONSIDERED:

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	EL						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Translation Yes No
	EM						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	EN	English Translation of Abstract of App. No. FR2364187; Published 04/07/1978; SciFinder; December 2000 Edition; page 43
RS	EO	Aulich et al; "Preparation of Optical Fibers of High Tensile Strength"; ©2000; SciFinder; December 2000 Edition; page 44.
RS	EP	Isomura et al; "Plastic Coating of Optical Glass Fiber"; ©2000; SciFinder; December 2000 Edition; page 44
RS	EQ	Uedono et al; "Open Spaces and Molecular Motions of Polyether-Based Network Polymers Probed by Positron Annihilation"; ©1998; Journal of Polymer Science: Part B: Polymer Physics; Vol. 36, pages 1919-1925.
RS	ER	Reddy et al; "Influence of stress relaxation in primary coatings on low temperature attenuation in optical fibers"; ©1994; SPIE Vol. 2290, pages 19-28.
RS	ES	Kim et al; "Properties of UV-Curable Polyurethane Acrylates for Primary Optical Fiber Coating"; ©1992; Journal of Applied Polymer Science Vol. 46; pages 1339-1351.
RS	ET	Dai et al; "Studies of structure and properties of photocrosslinked polyurethane acrylates"; ©1991; Makromol. Chem. Vol. 192; pages 177-184.
RS	EU	Skutnik; "How Coating/Polymer Properties Affect Fiber/Cable Performance"; ©1989; Polymer Engineering and Science Vol. 29; pages 1159-1164.

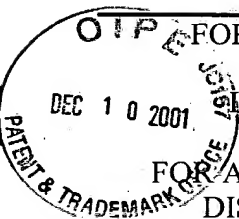
EXAMINER:

*Rebecca Heyant*

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LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

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APPLICANT Chou et al.

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## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	EV						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	EW							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

RS	EX	Shitov et al; "Effect of Negative Temperatures on Optical Fibers with Polymer Coatings"; ©1989; Originally published in Elektrosvyaz; pages 37-40.
RS	EY	Kimura et al; "Effects of Chemical Structure on Low-Temperature Modules for UV-Curable Polybutadiene Acrylates as an Optical Fiber Coating Material"; ©1986; Journal of Polymer Science: Part A: Polymer Chemistry, Vol. 24; pages 1161-1171.
RS	EZ	James E. Mark; Polymer Data Handbook; ©1999; Oxford University Press; pages 787-852.
RS	FA	D. Gloge; "Optical Fiber Packaging and Its Influence on Fiber Straightness and Loss"; ©1975; The Bell System Technical Journal, Vol. 54; No. 2; pages 245-262.
RS	FB	Grant et al; "The Effect of Temperature Dependent Materials Properties on Fiber Optic Cable Design"; ©1989; Polymer Engineering and Science; Vol. 29 No. 7; pages 1186-1192.
RS	FC	Masuno et al; "Optimum Design of Coated Optical Fiber Considering Excess Loss at Low Temperatures"; ©1982; Journal of Optical Communications; pages 142-145
RS	FD	Plueddemann; Chemistry of Silane Coupling Agents; ©1991; Plenum Press, NY; 2 <sup>nd</sup> Edition; pages 31-53.
RS	FE	Bayer Polyurethane Raw Materials Product Index; ©1999
RS	FF	Bayer; "Polyurethanes Update 2001"; ©2001

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